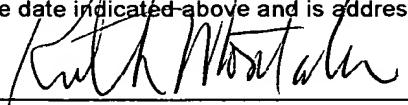


Prepared from 7CFM PTO-1390	Transmittal Letter to the United States Designated/Elected Office (DO/EO/US)		Page 1
Attorney's Docket No.:	JG-YY-4952		
U.S. Application No.:	09/508026		
International Application No.:	PCT/JP98/03983		
International Filing Date:	SEPTEMBER 04, 1998	04 SEPTEMBER 1998	
Priority Date Claimed:	SEPTEMBER 04, 1997	04 SEPTEMBER 1997	
Title of Invention:	EPOXYSUCCINAMIDE DERIVATIVES		
Applicant(s) for (DO/EO/US):	Yutaka MOMURA, Toshihiro TAKAHASHI, Yasushi YOSHINO and Koichiro NISHIOKA		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>[X] 1. This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>[ ] 2. This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>[ ] 3. This express request to begin national examination procedures [35 U.S.C. 371(f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and 44.</p> <p>[ ] 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>[X] 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]</p> <p>    a) _____ is transmitted herewith (required only if not transmitted by the International Bureau)</p> <p>    b) _____ has been transmitted by the International Bureau</p> <p>    c) _____ is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>[ ] 6. A translation of the International Application into English [35 U.S.C. 371(c)(2)]</p> <p>[ ] 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]</p> <p>    a) _____ are transmitted herewith (required only if not transmitted by the International Bureau)</p> <p>    b) _____ have been transmitted by the International Bureau</p> <p>    c) _____ have not been made; however, the time limit for making such amendments has <b>NOT</b> expired.</p> <p>    d) _____ have not been made and will not be made</p> <p>[ ] 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]</p> <p>[ ] 9. An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)] <b>TO FOLLOW</b></p> <p>[ ] 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]</p>			
Items 11. to 16. below concern other document(s) or information included:			
<p>[ ] 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98</p> <p>[ ] 12. An Assignment document for recording. A separate cover sheet (PTO-1595) in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>[X] 13. <u>X</u> A <b>FIRST</b> preliminary amendment     — A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</p> <p>[ ] 14. A substitute specification</p> <p>[ ] 15. A change of power of attorney and/or address letter</p> <p>[X] 16. (other items or information) <b>PCT/RO/101, Publication No. WO 99/11640 published 11MAR99 with Search Report (PCT/ISA/210) dated 8DEC98 and Article 34 (Amended claims) dated 2APR99.</b></p>			
EXPRESS MAIL No.:		EM 165 844 321 US	Deposited: March 6, 2000
I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Assistant Commissioner for Patents, Washington, DC 20231.			
 <u>/Ruth Montalvo</u> Date: <u>March 6, 2000</u>			

U.S. Application No. (if known, see 37 CFR 1.50):  
 International Application No.: PCT/JP98/0003

09/508026

Attorney's Docket No: JG-YY-4952

CALCULATIONS PTO USE ONLY

17. The following fees are submitted:

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]

[X]	Search Report has been prepared by the EPO or JPO.....	\$ 840.00		
[ ]	International preliminary examination fee paid to USPTO [37 CFR 1.482].....	\$ 670.00		
[ ]	No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)].....	\$ 690.00		
[ ]	Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO.....	\$ 970.00		
[ ]	International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4).....	\$ 96.00		

ENTER APPROPRIATE BASIC FEE AMOUNT: \$ 840.00

Claims	Number filed	Number extra	Rate	
Total Claims (Prel. Amt)	15	-20	x \$ 18. =	
Indep. Claims	7	-03	x \$ 78. =	\$ 312.00

Multiple Dependent Claim(s) (if applicable) + \$260. =

TOTAL OF ABOVE CALCULATIONS: \$ 1,152.00

Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date [37 CFR 1.492(e)]

TOTAL OF ABOVE CALCULATIONS: \$ 1,152.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must be filed. [Note 37 CFR 1.9, 1.27, 1.28]

SUBTOTAL: \$ 1,152.00

Processing fee of \$130.00 for furnishing the English Translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date [37 CFR 1.492(f)]

\$ 0.00

TOTAL NATIONAL FEE: \$ 1,152.00

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property + \$ .00

TOTAL FEE(S): \$ 1,152.00

AMOUNTS TO BE REFUNDED OR CHARGED	REFUNDED	\$
	CHARGED	\$

(Please note the filing fee is based on the claims in the Preliminary Amendment)

Check in the amount of \$ 1,152.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 13-0025.)

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Jules E. Goldberg, Esq.  
 McAulay Nissen Goldberg Kiel & Hand, LLP  
 261 Madison Avenue  
 New York, NY 10016-2391

Jules E. Goldberg  
 Name (Tel. (212) 986-4090)

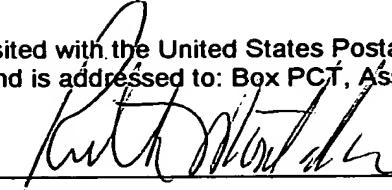
Signature

24,408 March 6, 2000  
 Reg. No. Date

EXPRESS MAIL No.: EM 165 844 321 US

Deposited: March 6, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: Box PCT, Assistant Commissioner for Patents, Washington, DC 20231

  
/ Ruth Montalvo

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: JG-YY-4952

Applicant(s): Yutaka MOMURA, Toshihiro TAKAHASHI, Yasushi YOSHINO and Koichiro NISHIOKA

Serial No.: (Int'l Appln. PCT/JP98/03983 filed September 4, 1998)

Filed:

For: EPOXYSUCCINAMIDE DERIVATIVES

National Phase of PCT Application No. PCT/JP98/03983 filed 04 SEPTEMBER 1998

TRANSMITTED HEREWITH IS THE FOLLOWING

- 1. Transmittal Letter to the United States Designated/Elected Office (PTO-1390);
- 2. Request (PCT/RO/101);
- 3. Publication No. WO 99/11640 published 11MAR99 with Search Report (PCT/ISA/210) dated 8DEC98;
- 4. English language text [53 pgs of Specification, 6 pgs of Claims and Abstract (NO Drawings);
- 5. Article 34 (Amended claims) dated 2APR99;
- 6. Preliminary Amendment (Claims 15/7);

TO FOLLOW - Executed Declaration/POA;

TO FOLLOW - PTO-1619A and Assignment;

- 7. Check in the amount of \$ 1,152.00

The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 13-0025.

Respectfully submitted,

  
Jules E. Goldberg - Reg. No. 24,408

JEG:ram